

Select a Product

Date:

Money Market Fund

Fixed Income Fund

Balanced Fund

Investor Details

Surname: Other Name(s):

Date of Birth: ID/Passport No.: Nationality:

Country of Residence: KRA PIN No.: Mobile No.:

Email Address: Postal Address & Code:

Employment Status: Employed Self Employed Unemployed Retired

Employer's Name: Occupation:

Industry:

Residential Address Enquiry

House No. & Estate:

Road: Town / Area:

I write to confirm that the above is a description of my current residential address. This description is provided as I do not have any utility bill that may be used to verify my current residential address.

Source of Funds

The funds for these investments are from (please tick)*

Salary Rental/Property Sale Sale of Shares Dividends Loan

Maturing Investments Retirement Benefits Gifts/Bonuses Inheritance

Others (Please Specify)

**Please note that Sanlam Investments East Africa reserves the right to seek further information or documentation on the source of the funds to be invested.*

Customer's Bank Details

Bank Name: Bank Branch:

A/C Name: A/C Number:

Signature of Applicant in accordance to mandate provided _____

Any instructions of change of bank details MUST be provided in writing with certified proof

Investment Details

Minimum investment amount is **Kshs. 2,500** for each of the Sanlam Unit Trust Funds.

NAME OF FUND	AMOUNT	INITIAL FEES	ANNUAL MANAGEMENT FEES
Sanlam Money Market Fund		NIL	1.2%
Sanlam Fixed Income Fund		NIL	2%
Sanlam Balanced Fund		NIL	2%
Total Amount Invested			

Unit holders shall be granted one free withdrawal a month to the designated bank account.
Any additional withdrawal will be subject to a frequency charge of **Kshs 500**.

Payment method (please tick) Cheque Deposit Direct Cash Direct Transfer M-Pesa
Re-invest? Yes No (If "No", all income distribution will be credited to your Bank Account on file.)

Deposit Account Details

Sanlam Investments East Africa Limited accepts personal/corporate and bankers cheques payable to the Sanlam Unit Trust A/C. The Bank Account details are listed below.

A/C Name	SANLAM UNIT TRUST	Branch	Kenyatta Avenue
A/C Number	0100003738118	Swift Address	SBICKENX
Bank	Stanbic Bank	Bank Code	31002
M-Pesa Pay Bill Number	888111	M-pesa Pay Bill A/C No	Input your ID No

Please indicate the unique member number for all subsequent top-ups and share the details to clientservice@sanlameastafrica.com

Beneficiaries

	Beneficiary 1	Beneficiary 2	Beneficiary 3
Name			
Date of Birth			
Identification No.			
Mobile No.			
Percentage Share (%)			
Relationship			
Email Address			

Guardian (For minor beneficiaries i.e below 18 years)

Full Name	ID Number/Passport Number	
Date of Birth	Mobile Number	Relationship to Minor

Self Certification (Tax Status)

Are you registered for tax in Kenya? Yes No

Are you registered for tax in any other country? Yes No

If "Yes", please provide your Tax Identification Number for each country (or reason why none has been issued)

Country of Residence	Tax Identification No.	Or Reason	Tax No. Not Applicable

Sanlam Investments East Africa Limited:

Africa Re Centre, 5th Floor, Hospital Rd,
P.O Box 67262, 00200 Nairobi, Kenya
Website: www.sanlameastafrica.com

Contact Information:

Email: clientservice@sanlameastafrica.com
Tel: +254 719 067 000 | +254 20 496 700
Social Media: Facebook, Instagram, LinkedIn, Twitter: @SanlamInvestmentsEA

Risk Assessment

1. What is your age bracket?

- a. 18 - 30 years b. 31 - 45 years c. Over 45 years

2. How long do you want to invest?

- a. Above 3 years b. 1 - 3 years c. 0 - 1 years

3. Have you ever invested in any of the investments below? (Please tick where applicable)

Fixed Deposit Land/House Shares T/Bills or T/Bonds Unit Trusts

- a. More that 3 investment categories
 b. More than 1 - 3 of the above
 c. None of the above
 d. Others (please state)

4. What type of savings or investments do you currently hold? (Please tick where applicable)

Fixed Deposit Land/House Shares T/Bills or T/Bonds Unit Trusts

- a. More that 3 investment categories
 b. More than 1 - 3 of the above
 c. None of the above
 d. Others (please state)

5. What do you expect of your income in the next one to three years?

- a. Increase b. Stay about the same c. Decline/stop

6. Would you say you have?

- a. A sound and good understanding of investments and financial markets
 b. A basic understanding of investments and financial markets
 c. Very little knowledge of investments but have an interest
 d. No interest or knowledge of any investments

7. What attracts you to an investment?

- a. Its good return, regardless of risk
 b. A combination of security and income
 c. Purely security

FUND	RISK LEVEL	FUND OBJECTIVES
Sanlam Money Market Fund	Low	<ul style="list-style-type: none"> Above inflation level of current income Low Risk Ideal for preserving capital and liquidity while earning a competitive return relative to comparative products
Sanlam Fixed Income Fund	Low - Medium	<ul style="list-style-type: none"> Stable income stream Low to medium risk Ideal for growing assets while earning a periodic and stable income
Sanlam Balanced Fund	Medium	<ul style="list-style-type: none"> Moderate to high level of current income Moderate risk Ideal for medium to long-term investors seeking a competitive income return and capital appreciation

Declarations and Signature

I apply for investments into Sanlam Unit Trust Funds on the terms, conditions and regulatory information set out in the information memorandum. I understand that Sanlam Unit Trust reserves the right to accept or decline this application. The information is available at the Sanlam Investments East Africa website.

I have read and understood the information memorandum, the charges made by Sanlam Investments East Africa Limited and the applicable charges and terms and conditions of this application.

I confirm that I received clear explanations and understanding on the investment product before signing. I confirm that the product was fully explained to me with particular attention having been paid to its charge structure and any possible cancellation penalties that may incur. Investable funds should be sighted in the Sanlam Unit Trust collection account before cut off for purchasing any units.

I confirm that the units are not being acquired directly or indirectly by or on behalf of any person restricted by the law of any jurisdiction from acquiring such units and that I/we will not sell, transfer or otherwise dispose of any such units directly or indirectly to or for the account of such a person.

I hereby agree that all proceeds for redemption and income distribution will be paid in accordance to the applicable payment instructions and not third party accounts.

I confirm that the money used for the investment in the Sanlam Unit Trust Funds is not arising out of the proceeds of any money laundering or other illicit activities.

I agree that Sanlam Unit Trust is not responsible for any liability, losses or damages resulting from e-mail or fax instructions, except when such a liability or loss results from negligence, or willful default on Sanlam Unit Trust's part.

I warrant that all the information given on this proposal and in all documents which have been or will be signed by me in the connection with the proposal application whether in my hand or not, is true and complete.

Sanlam Unit Trust is dedicated to protecting the confidentiality and privacy of information entrusted to us.

Personal information is processed to comply with legal and regulatory obligations, such as keeping records for tax purposes or providing information to a public body or law enforcement agency, and may include filing reports with various Regulators such as Financial Reporting Centre (FRC), Capital Markets Authority (CMA), Internal Revenue Service ("US Tax Authority"), etc.

Sanlam Unit Trust is legally bound by the Foreign Account Tax Compliance Act (FATCA) and is, or may be required for US citizens or residents, to disclose and report certain information concerning their account to the relevant authorities including but not limited to the US Internal Revenue Service ("US Authorities"). Additionally, FATCA may require the Sanlam Unit Trust to deduct, withhold and remit such taxes or monies to the US Authorities as may be directed by them from time to time.

We shall notify you of any material changes regarding the protection of your personal data via email or our website. If you have any queries at all in relation to your personal data and how we can protect your data rights, please contact our Data Protection Officer through privacyofficer@sanlameastafrica.com

I, THE UNDERSIGNED CONFIRM THAT I HAVE READ AND UNDERSTOOD THIS DECLARATION AND ITS IMPLICATIONS

Name:

Signature of Applicant: _____ Date:

Sanlam Investments East Africa Limited:

Africa Re Centre, 5th Floor, Hospital Rd,
P.O Box 67262, 00200 Nairobi, Kenya
Website: www.sanlameastafrica.com

Contact Information:

Email: clientservice@sanlameastafrica.com
Tel: +254 719 067 000 | +254 20 496 700
Social Media: Facebook, Instagram, LinkedIn, Twitter: @SanlaminvestmentsEA

For Official Use Only

1. KYC Documents

- I.) Copy of National ID or Passport
- II.) Passport size photo
- III.) Copy of PIN certificate
- IV.) Copy of tax exemption certificate [If applicable]

2. Proof of Banking details

- I.) Copy of ATM card with the account number or copy of Bank Statement or original Cancelled Cheque

3. Proof of investment i.e M-Pesa confirmation message. Cheques or RTGS. Cheques should be written in the name of Sanlam Unit Trust.

I hereby confirm that all the above documents (where applicable) have been attached.

Financial Advisor Name: _____

Code: _____ Signature: _____

Date:

For Internal Use

Staff Name: _____ Signature: _____ Date:

Reviewed by: _____ Signature: _____ Date: